# Predicting Behaviors based on Sequence Modeling of Test-takers' Clickstreams using LSTM, RNN, and n-gram 

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#### Abstract

In large-scale computer-based assessment, clickstreams capture the exact clicks and behaviors of each test-taker throughout the exam period. In this study, several approaches towards predicting behavior in a test environment are analyzed with the purpose of quantifying how typical (or atypical) a student's behaviors are in a test context, providing a summary measure of a test-taker's behaviors, allowing for further investigation of any test-takers who are displaying atypical behavior patterns. The proposed behavior models include architectures such as the Long Short-Term Memory (LSTM) network, Recurrent Neural Networks (RNN), and an n-gram approach. The proposed models will predict the next action in a clickstream sequence given prior history. Model results will be evaluated using Model Agreement Index (MAI), a summary statistic of quantifying model agreement. Lower MAI score indicates fewer typical test-taking behaviors. Clickstream data is obtained from a state-wide summative test administered to grades $3-8$ students in 2021. The characteristics of MAI indexes, the comparison among different prediction models, and correlations between MAI results and other existing statistics for detecting aberrant test-taking behaviors are discussed.


Key Words: Predictive Behavior Modeling, Clickstream, Model Agreement Index

## Introduction

In a perfect testing scenario, test-takers fully represent their capabilities and knowledge by answering each test item in a test, and the resulting scores are an accurate representation of the testtakers' abilities. In practice, a variety of potential issues can arise. For example, test-takers could voluntarily undermine the testing process through cheating or refusing to authentically try their best. Additionally, the actual delivery of test content and items can vary from environment to environment depending on software, and sometimes students could be confused in how to correctly navigate the test or how to use tools available to them, which could negatively affect the test-takers' performance.

In this study, we propose the use of "predictive behavior modeling" to summarize the behavior patterns of test-takers by their clickstream data as a method to identify potential issues arising during the testing process. With these behavior models, a Model Agreement Index (MAI) is established. Lower values of MAI indicate that the clickstream contains actions that are atypical and harder to predict. Once clickstreams with low MAI have been identified, qualitatively and quantitively analyzing "why" such clickstreams are hard to predict can help stakeholders verify whether these sources of possible aberrance are acceptable or not. The underlying reasons why clickstreams have low MAI could vary for different testing administrations, as test content and test-taker populations vary.

Three prediction models are analyzed in this study. The first model analyzed is the Long ShortTerm Memory (LSTM) network, a popular deep learning model applied to sequence data. The LSTM approach is compared to two baseline models: a vanilla recurrent neural network (RNN) and a bigram model. The use of the LSTM historically achieved state-of-the-art results in language modeling tasks (Sundermeyer, Schlüter, \& Ney, 2012), which involve predicting the next word given prior context. The concept behind "predicting the next word in a sequence" can be analogous to "predicting the next
behavior or action in a test-taking sequence," which is part of the motivation behind using the LSTM for the purpose of predicting test-taker behaviors.

The goal of applying these models is to give a straightforward quantification (MAI) of how typical an examinee's behaviors are within a testing context. The sequence behavior models are trained on clickstream data that includes all trackable actions in a computer-based test environment, including navigations, multiple-choice response selections, tool usage like calculator or notepad, and accommodations such as screen contrast toggling. The goal of each model is to predict the next clickstream action given the history of prior actions.

## Operational Definition of Atypical Behavior

Suppose that a predictive model of student test-taking behaviors exists, with inputs being past clickstream actions and outputs being possible future actions. With this predictive model, one can define an "atypical clickstream" to be a clickstream that is not well predicted by the proposed model by comparing each observed action in the clickstream to the predicted probability of that observed action by the model's output. Clickstreams that are better predicted by the model are supposedly more "typical" as they are more predictable. In this study, three predictive models of behaviors based on a bigram, simple RNN, and LSTM architecture are proposed. The predictive models are then used to compute a Model Agreement Index (MAI) value, which indicates the extent of agreement between observed clickstream actions and model-predicted actions on a likelihood continuum ranging between 0 and 1. Clickstreams with relatively low MAI values are operationally considered more atypical than clickstreams with higher MAI values.

An assumption inherent to this study is that such a predictive model can be generally useful to stakeholders interested in ensuring that typical test-taking operations are observed, and that this model could serve as a system to monitor behavior patterns at scale, focusing on the entirety of a test rather
than individual item responses. Monitoring algorithms are intended to flag noteworthy results to some degree of accuracy. For testing, noteworthy events could include "cheating behaviors" and "confusion." It can be challenging to design these monitoring algorithms, as descriptions and signals of the cheating phenomenon and of student confusion are not precisely defined and may be extremely rare in practice. The operational definition of atypical in this paper serves as one lens in identifying "typical" and "atypical" behaviors, with the goal that flagging atypical behaviors using this definition will ultimately add value to stakeholders who want to ensure that typical test-taking processes are observed, and that atypical behaviors can be further analyzed to ensure nothing unwanted is occurring.

## Related Work

Clickstream analysis has historically been used to determine and summarize user behaviors in web usage contexts (Banerjee \& Ghosh, 2011; Heer \& Chi, 2002). In these works, users' navigation paths within a website were analyzed to obtain information about users' preferences. Clustering techniques have been used to group together clickstreams with similar behavior usage patterns (Gunduz \& Ozsu, 2003; Su \& Chen, 2015); these clusters were used to infer user interests and predict future user behaviors. LSTMs trained on clickstream data have been used to predict student navigational pathways (Tang, Peterson, \& Pardos, 2017) in massively open online course environments. In terms of aberrant and malicious user detection, clickstream analysis has been used to detect potential attackers who create fake identities in social media platforms (Wang, et al., 2017). In that work, sub-sequence counting with clustering is used to categorize clickstreams into different user archetypes, identifying clusters of clickstreams that could potentially be flagged for banning in their respective social media platforms.

In the field of educational testing, clickstreams (A.K.A, process data) have attracted more attention in recent years coinciding with the rise in popularity of online testing. K-means clustering was applied to process data for extracting behavior patterns of test-takers when they are measured on problem-solving skills (He, Liao, \& Jiao, 2019). In addition, two recent approaches were developed to
extract latent features from action sequences (Tang, Wang, He, Liu, \& Ying, 2020; Tang, Wang, Liu, \& Ying, 2020). Two underlying models, multidimensional scaling (MDS) and sequence-to-sequence autoencoders, are used to capture the pairwise dissimilarity of action sequences in process data. These features were found to be useful in predicting the final response of the test-takers for problem-solving items. Moreover, quite a few existing data forensics methods utilize one specific aspect of clickstream data at one time, e.g., examining if an item-response pattern is congruent with a specified measurement model (Drasgrow, Levine, \& Williams, 1985), identifying extremely short or aberrant response times (Li, Wall, \& Tang, 2018; van der Linden \& Guo, 2008; Wise \& DeMars, 2006), or detecting a large number of wrong-to-right answer changes at a group or individual level (Bishop \& Egan, 2017). Recently, a new approach utilized multiple features like response times, number of actions, number of answer changes to identify the examinees whose test-taking processes deviate from most examinees (Liao, Patton, Yan, \& Jiao, 2021). They discovered several archetypes of test-taking processes by applying k-means clustering algorithm. For example, an archetype can be a type of behavior that, comparatively, has long mean response time, many answer changes, and moderate variation in response time.

## Dataset

The dataset for this study consists of clickstream data from a state-wide summative test administered to grade 8 students in 2021. Each row in the clickstream log contains key pieces of information: timestamp, click_action, user_id. The click_action is the actual click or action that was taken. The user_id identifies which test-taker produced the clickstream.

Table 13 in the appendix shows the 151 possible actions from this clickstream dataset. The approach in the current study has a larger, more complex input space compared to other approaches. The key benefit of using this more complex input space is that every instance of clickstream behavior is modelled, allowing the LSTM model to potentially learn many different patterns of test-taking behaviors.

## Dataset Sample

The dataset used in this study consists of 3,934 Grade 8 examinee records, with a total of 531,628 clickstream rows, from the administration of a state-wide summative assessment in 2021. The 3,934 records represent every "valid" clickstream that was able to be processed for all students in one test session on one test form.

## Methodology

For this study, each of the three predictive models is given as input the history, in sequential order, of behaviors that have occurred up to the current time point. The model is tasked with outputting a probability distribution for the action that could come next given this input history. The simple RNN and LSTM approaches are given the entire history of actions so far, while the MCNA model is effectively given a history of just the preceding action. This section provides a description of each of the three predictive approaches: RNN, LSTM, and MCNA.

## Simple RNN

Recurrent neural networks (RNN; Graves, 2014) are neural networks with loops in them, allowing information to persist. The output from the previous step becomes the input to the next step, allowing for historical context to influence future predictions. This model is commonly applied to sequential data, such as language modeling or time series analysis. A simple RNN model consists of an input layer, a hidden layer, and an output layer.

| Table 1 Hyperparameters for Simple RNN |  |
| :--- | :--- |
| Factors | Levels |
| batch_size | 8,32 |
| epoch | $0-99$ |
| Istm_node_size | 128 |
| layers | 1 |
| dropout | 0.01 |
| optimizer | 'Adam' |

For this study, the RNN was implemented in Keras (Chollet \& Others, 2015), an open-source software library that provides a Python interface for artificial neural networks with the machine learning library TensorFlow (Abadi, et al., 2015) serving as the back end. RNN models have a variety of hyperparameters that can be tuned. In the current study, most of the hyperparameters were selected based on the authors' experience in previous research (Tang, Peterson, \& Pardos, 2017). Additionally, a 5-fold cross validation procedure was carried out for tuning "batch_size" and "epoch". The batch size defines the number of samples that will be propagated through the network. The weights are updated after each propagation. The number of epochs is a hyperparameter that defines the number of times that the learning algorithm will work through the entire training dataset. Usually, the model performance increases as the number of epochs increases, but the model begins to overfit when the number of epochs is too large. Therefore, the best epoch number needs to be found. The optimized "batch_size" was 8 and "best epoch" was 46 . The final model was trained on all data, with the optimized hyperparameters.

## LSTM

The Long Short-Term Memory (LSTM) architecture belongs as part of the family of recurrent neural network architectures. Existing research in the domain of language modeling has found that sequence models based on Long Short-Term Memory networks have strong performance (Sundermeyer, Schlüter, \& Ney, 2012), beating prior approaches based on n-grams, hand-crafted features, and "simple" or "vanilla" recurrent neural networks. Utilizing LSTM networks specifically trained on clickstream data has also been used to predict student behaviors in Massively Open Online Courses, to better understand usage patterns as well as to possibly identify useful resources based on the resources similar students have utilized in the past (Tang, Peterson, \& Pardos, 2017).

Keras is once again used to implement the LSTM models for this study. All of the hyperparameters in Table 1 apply to our LSTM model implementation as well, except that the number of layers was fixed to 2 for the LSTM approach. Similar to our implementation of the simple RNN model, a 5-fold cross-validation procedure was carried out for hyperparameter tunning on "batch_size" and "epoch". The optimized "batch_size" was 8 and "best epoch" was 31.

MCNA

A baseline model is named as the "Most Common Next Action" (MCNA). As the name implies, the MCNA model always predicts that the next action will be the most common action that follows the current action, based on the set of training data. This is equivalent to a 2-gram or bigram model, which is equivalent to an $n$-gram model where $n$ is set to 2 . For this study, the entire available dataset sample is used as the "set of training data" to determine the most common next action for each possible clickstream action.

## Statistics of Interest

MAI definition

The Model Agreement Index (MAI) is a straightforward index of how well an examinee's behaviors align with the trained clickstream behavior model. The index is simply the average probability score of an examinee's observed actions according to the model's predictions of their actions. Therefore, MAI is effectively a summarized weighted probability over all actions taken within an individual clickstream.

A clickstream can be defined as a list of vectors. Each vector is a representation of a single click taken by an examinee. The dimensionality of each vector is equal to the number of different possible actions in the clickstream data. Each vector is one-hot encoded, meaning that all values of the vector are
set to 0 , except for one index which is set to 1 ; this value of 1 corresponds to the action taken at that point in the clickstream.

To calculate MAI for a clickstream $\boldsymbol{c}$, the corresponding probability from the model output probability distribution for the actual action taken at each timestep is iteratively obtained, summed up, and divided by the length of $\boldsymbol{c}$.

The MAI formula for a clickstream can be described as:

$$
\begin{equation*}
M A I_{c}=\frac{\sum_{s=1}^{S} \sum_{i=1}^{n} t_{s i} p_{s i}}{S} \tag{1}
\end{equation*}
$$

$$
t_{s i}=\left\{\begin{array}{l}
1 \text { if action } i \text { is the action observed at timestep } s \\
0 \text { otherwise }
\end{array}\right.
$$

where $S$ is the length of the clickstream, $s$ represents a single "step" or "timestep" and iterates from 1 through $S, i$ is used to correspond to an index used to represent a particular action, $n$ is the total number of possible actions and represents the highest possible value of $i, t_{s i}$ is a truth label at timestep $s$ and for action $i$ defined as described in formula (1), and $p_{s i}$ is the softmax probability from the model for action $i$ at timestep $s$.

MAI takes a score range from 0 to 1 . Higher scores show stronger agreement between examinee observed behaviors and predicted model actions. Conversely, lower scores mean that the examinee has taken more atypical (and less likely) actions, according to the model's predictions. In general, MAI can be used to identify individual examinee atypical behavior. MAI can also be aggregated for group-level analysis.

The prediction accuracy of the prediction models is also evaluated by a top-1 accuracy index. This index evaluates the probability that the observed action is correctly predicted as the most likely action by the prediction model.

$$
\begin{equation*}
\operatorname{Top} 1 \text { Accuracy }_{c}=\frac{\sum_{s=1}^{S}\left(\text { predicted_action }_{s}=\text { observed_action }_{s}\right)}{S} \tag{2}
\end{equation*}
$$

## Results

## Descriptive Statistics

MAI scores and top-1 accuracy are computed for each of the three models, LSTM, RNN, and MCNA. Figure 4 shows the distribution of MAI scores and top-1 accuracy. The density plots for both statistics show the difference between MCNA and LSTM.



Figure 1 Plot of MAI and Top-1 accuracy distributions

Table 2 Descriptive statistics

|  | MAI |  |  |  | TOP1_ACC | 237 |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
|  | LSTM | Simple RNN | MCNA | LSTM | Simple RNN | MCNA |
| N count | 3934 | 3934 | 3934 | 3934 | 3934 | 3934 |
| mean | $\mathbf{0 . 6 2}$ | $\mathbf{0 . 5 9}$ | $\mathbf{0 . 4 9}$ | $\mathbf{0 . 7 3}$ | $\mathbf{0 . 7 1}$ | $\mathbf{0 . 5 9}$ |
| std | $\mathbf{0 . 0 8}$ | $\mathbf{0 . 0 7}$ | $\mathbf{0 . 0 6}$ | $\mathbf{0 . 0 8}$ | $\mathbf{0 . 0 8}$ | $\mathbf{0 . 1 2}$ |
| min | 0.34 | 0.32 | 0.22 | 0.38 | 0.35 | 0.12 |
| 25\% | 0.56 | 0.55 | 0.45 | 0.68 | 0.66 | 0.50 |
| $50 \%$ | 0.62 | 0.59 | 0.49 | 0.74 | 0.71 | 0.59 |
| $75 \%$ | 0.67 | 0.64 | 0.54 | 0.79 | 0.77 | 0.67 |
| max | 0.84 | 0.80 | 0.72 | 0.95 | 0.94 | 0.89 |

Table 2 and Figure 1 show the descriptive statistics and distribution curves of the calculated MAI scores by different methods. In summary, the MAI scores calculated by LSTM and simple RNN are higher than those calculated by MCNA, with the LSTM having the highest mean MAI scores. LSTM shows the strongest prediction accuracy among the three models. The average top-1 prediction accuracy of LSTM is 0.73 , which is higher by 0.14 than that of MCNA approach.

## Model Comparison

Table 3 Comparison of MAIs by LSTM, MCNA, and RNN

|  |  | LSTM vs RNN | LSTM vs MCNA | RNN vs MCNA |
| :--- | :---: | :---: | :---: | :---: |
|  | Mean (S.D.) | $.03(.02)$ | $.12(.05)$ | $.10(.04)$ |
| Absolute Difference | Min | .00 | .00 | .00 |
| of MAI | Max | .16 | .41 | .39 |
| Correlation <br> Coefficient |  | .97 | .79 | .84 |

In Table 3, some statistics for comparing the MAI by different methods are presented. The first row shows the mean and standard deviation of MAI difference between each pair of methods. The two rows below show the minimum and maximum MAI difference, while the last row shows the Pearson's correlation coefficient between each pair of methods. The average difference between LSTM MAI and RNN MAI is small (0.03), with a standard deviation of 0.02 . The MAI values based on these two methods
are also highly correlated with a correlation coefficient of 0.97 . On the contrary, the average difference between LSTM MAI and MCNA MAI is relatively high (0.12), with a standard deviation of 0.05 . The maximum difference is as large as 0.41 . The correlation coefficient is moderate: 0.79 .

Table 4 The confusion matrix for comparing LSTM, RNN and MCNA (TOP 1 ACCURACY)

|  |  | MCNA |  |  |
| :---: | :---: | :---: | :---: | :---: |
|  |  | Correct | Incorrect | Total |
| LSTM | Correct | 283951(53.8\%) | 108991(20.7\%) | 392942(74.5\%) |
|  | Incorrect | 22735(4.3\%) | 112017(21.2\%) | 134752(25.5\%) |
|  | Total | 306686(58.1\%) | 221008(41.9\%) |  |
| LSTM |  | RNN |  |  |
|  |  | Correct | Incorrect | Total |
|  | Correct | 369048(69.9\%) | 23894(4.5\%) | 392942(74.5\%) |
|  | Incorrect | 11808(2.2\%) | 122944(23.3\%) | 134752(25.5\%) |
|  | Total | 380856(72.2\%) | 146838(27.8\%) |  |
| RNN |  | MCNA |  |  |
|  |  | Correct | Incorrect | Total |
|  | Correct | 280886(53.2\%) | 99970(18.9\%) | 380856(72.2\%) |
|  | Incorrect | 25800(4.9\%) | 121038(22.9\%) | 146838(27.8\%) |
|  | Total | 306686(58.1\%) | 221008(41.9\%) |  |

Table 4 shows the confusion matrix for comparing prediction accuracy of the three methods.

One key result is that of the total 527,694 actions, the LSTM model predicted 86,256 more actions correctly compared to the MCNA model. This shows that the LSTM approach seems to be better at predicting actions more accurately compared to the MCNA model.

## Comparisons to Scale Sores

Each test-taker was assigned to take two testing sessions, denoted as Session 1 and Session 2. Based on response patterns from both Session 1 and Session 2 combined, each test-taker was assigned a scale score that ranges between 200 to 400 , indicating the math capability of the test-taker. In this study,

MAI scores are calculated for Session 1 only. Considering that students submitted the test after each test session, the actions between two test sessions are not a continuous sequence.


Figure 2 MAI scores against scale score decile groups
Figure 2 plots MAI across the deciles of the scale score distribution. A decile splits the distribution of scale scores into 10 ordered groups, with each decile comprising $10 \%$ of the total count of test-takers. The first decile is comprised of the lowest scoring $10 \%$ of test-takers, while the last and tenth decile considers the highest scoring 10\% of test-takers. The x-axis of the figure shows the range of scores that are included in each decile group. LSTM MAI and MCNA MAI scores are plotted separately. For LSTM MAI results, there appears to be a slightly decreasing trend in median MAI scores up until about the $6^{\text {th }}$ decile group. From the $7^{\text {th }}$ through $10^{\text {th }}$ decile, there is a slightly increasing trend. For MCNA MAI results, the slightly decreasing trend goes from the $1^{\text {st }}$ through the $7^{\text {th }}$ decile, and then there
appears to be a slight increase in MAI scores in the $8^{\text {th }}$ decile. These results indicate that the relationship between MAI and performance does not appear to be linear. It is also of note that the inter-quartile ranges of each box plot span a relatively wide range, indicating that there is not necessarily a strong or obvious relationship between MAI and scale score, other than the slight dip observed in the distributions from both test sessions.

## Comparing MAI to Traditional Aberrance Detection Statistics

N2 and NC2 (Bishop \& Egan, 2017) are two common aberrance indices (Ranger, Schmidt, \& Wolgast, 2020) that are relatively straightforward to compute. N 2 indicates the number of items on which an examinee changes his/her response at least once. NC2 indicates the number of items on which a test-taker changes his/her response from wrong to right at the last attempt. Other aberrance indices focus on response-time analysis. Based on the lognormal model for response times (van der Linden \& Guo, 2008), Li et al. (2018) introduced the statistical index $Z_{s} . Z_{s}$ is an item-level index. For this study, we focus on using only the last response time recorded by each examinee for each item, disregarding response times for any answer choices other than what ends up as the final response selection for the examinee. High values of $Z_{s}^{2}$ identify where an examinee's response time is unusually quick or unusually slow based on the response times from the entire population of examinees for that item. $Z_{S}$ is adjusted by an examinee's overall speed for the entire test session. The extent of aberrance of an examinee's response time pattern for the entire test is represented by the average of $Z_{s}^{2}$ across all items.

Table 5 Correlation Coefficients Between MAI scores and Traditional Aberrance Detection Indices

|  | LSTM |  | Simple RNN |  | MCNA |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | MAI_Score | Top1_Acc | MAI_Score | Top1_Acc | MAI_Score | Top1_Acc |
| N2 | -0.28 | -0.32 | -0.28 | -0.32 | -0.18 | -0.17 |
| NC2 | -0.23 | -0.26 | -0.24 | -0.26 | -0.18 | -0.19 |
| Average_Z $\boldsymbol{Z}_{\boldsymbol{s}}^{\mathbf{2}}$ | -0.20 | -0.21 | -0.19 | -0.21 | -0.11 | -0.11 | negative correlation with MAI by LSTM/simple RNN. The correlation coefficients are even smaller for the MAI by MCNA. The correlation between N2 and MAI scores is the highest among the tested statistics; this could be somewhat expected given that both N2 and the current MAI approach do not consider response correctness or response times, while the other models do. The negative correlation shows that, on average, examinees who change answers more frequently have lower MAI scores.



Figure 3 NC2 Index Across LSTM MAI Deciles/ MCNA MAI Deciles
Figure 3 plots the average NC2 value across the 10 deciles of MAI scores. There is a downward trend for both LSTM MAI and MCNA MAI. This trend shows that lower MAI scores tended to have higher NC2 values across the entire distribution of MAI scores. In interpretive terms, this means that clickstreams that were identified as relatively more atypical by their MAI values tended to also be
relatively more aberrant according to their NC2 values. For LSTM MAI scores, the decreasing of NC2 is more obvious across the MAI score deciles.

The correlation coefficient between MAI scores and the response time index $Z_{s}^{2}$ is slightly negative. Examinees who have higher response time aberrance on their last attempt on an item tended to have slightly lower MAI values. The current calculation of MAI does not incorporate response time or timing between actions. In future work, if timings were to be included as part of the MAI computation, correlations with aberrance indices that are related to response times could increase.

## What actions are commonly observed in Low-MAI and High-MAI clickstreams?

We define "Low MAI" to include MAI values that are lower than 2 standard deviations below the mean. We define "High MAI" to include MAI values higher than 2 standard deviations above the mean. With this definition, among the 3934 clickstreams, 104 clickstreams are in the "Low MAl" group, while 67 clickstreams are in the "High MAI" group. The "Low MAI" clickstreams contain 8831 actions in total and the "High MAI" clickstreams contain 7664 actions in total.

Table 6 breaks down the distribution of the 8831 observed actions from the Low MAI group by also considering what the most likely action predicted by the behavior model was when that observed action occurred. For example, row 1 describes the $\%$ of all observed actions where the observed action was a NAVIGATION_ITEM_NEXT and the predicted action at that point in time was also a NAVIGATION_ITEM_NEXT. On the other hand, row 8 depicts the \% of all observed actions where the observed action was a NAVIGATION_ITEM_NEXT but the prediction model at that point in time predicted a different action, specifically ITEM_MULTIPLE_CHOICE_ANSWER. Table 6 shows the top 20 most frequent observed/prediction action pairs, sorted in descending order in terms of the frequency of each "observed action" and "predicted action" pair. Any row highlighted in bold shows a mismatching
prediction pair. Additionally, the last column states whether the observed and predicted action are a match for that row.

Table 7 shows the same information but for the distribution of the 7664 actions from the High

MAI group.

Table 6 Percentages of observed/predicted action pairs in "Low MAI" group

| Row | Observed Action | Predicted Action by LSTM | \% | Label |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{1}$ | NAVIGATION_ITEM_NEXT | NAVIGATION_ITEM_NEXT | $12.3 \%$ | Match |
| $\mathbf{2}$ | ITEM_MULTIPLE_CHOICE_ANSWER | ITEM_MULTIPLE_CHOICE_ANSWER | $9.7 \%$ | Match |
| 3 | ITEM_MULTIPLE_CHOICE_ANSWER | NAVIGATION_ITEM_NEXT | $\mathbf{4 . 5 \%}$ |  |
| 4 | TOOL_CALCULATOR_OPEN | TOOL_CALCULATOR_OPEN | $4.2 \%$ | Match |
| $\mathbf{5}$ | TOOL_ANSWER_MASKING_TOGGLE | TOOL_ANSWER_MASKING_TOGGLE | $3.5 \%$ | Match |
| 6 | NAVIGATION_REVIEW_PANEL_CLOSE | NAVIGATION_REVIEW_PANEL_CLOSE | $3.4 \%$ | Match |
| 7 | TOOL_CALCULATOR_TOGGLE | ITEM_MULTIPLE_CHOICE_ANSWER | $\mathbf{2 . 6 \%}$ |  |
| 8 | NAVIGATION_ITEM_NEXT | ITEM_MULTIPLE_CHOICE_ANSWER | $\mathbf{2 . 5 \%}$ |  |
| 9 | TOOL_CALCULATOR_CLOSE | TOOL_CALCULATOR_CLOSE | $1.9 \%$ | Match |
| 10 | TOOL_ANSWER_MASKING_TOGGLE | ITEM_MULTIPLE_CHOICE_ANSWER | $\mathbf{1 . 9 \%}$ |  |
| 11 | TOOL_SKETCH_SELECT | TOOL_SKETCH_SELECT | $1.9 \%$ | Match |
| 12 | TOOL_CALCULATOR_CLOSE | ITEM_MULTIPLE_CHOICE_ANSWER | $\mathbf{1 . 6 \%}$ |  |
| $\mathbf{1 3}$ | NAVIGATION_ITEM_BACK | NAVIGATION_ITEM_BACK | $1.5 \%$ | Match |
| 14 | TOOL_CALCULATOR_TOGGLE | TOOL_CALCULATOR_OPEN | $\mathbf{1 . 5 \%}$ |  |
| 15 | NAVIGATION_ITEM_JUMP | NAVIGATION_ITEM_JUMP | $1.5 \%$ | Match |
| 16 | TOOL_ANSWER_MASKING_TOGGLE | NAVIGATION_ITEM_NEXT | $\mathbf{1 . 3 \%}$ |  |
| 17 | TOOL_CALCULATOR_TOGGLE | TOOL_CALCULATOR_TOGGLE | $1.2 \%$ | Match |
| 18 | NAVIGATION_REVIEW_PANEL_OPEN | NAVIGATION_ITEM_NEXT | $\mathbf{1 . 2 \% ~}$ |  |
| 19 | NAVIGATION_TURN_IN_COMMIT | NAVIGATION_TURN_IN_COMMIT | $1.2 \%$ | Match |
| 20 | NAVIGATION_REVIEW_PANEL_OPEN | ITEM_MULTIPLE_CHOICE_ANSWER | $\mathbf{1 . 1 \%}$ |  |

Table 7 Percentages of observed/predicted action pairs in "High MAl" group

| Observed Action | Predicted Action by LSTM | Percent | Label |
| :--- | :--- | :---: | :---: |
| NAVIGATION_ITEM_NEXT | NAVIGATION_ITEM_NEXT | $25.5 \%$ | Match |
| ITEM_MULTIPLE_CHOICE_ANSWER | ITEM_MULTIPLE_CHOICE_ANSWER | $24.3 \%$ | Match |
| ITEM_DRAG_BOX_DRAG_END | ITEM_DRAG_BOX_DRAG_END | $5.5 \%$ | Match |
| ITEM_DRAG_BOX_DRAG_START | ITEM_DRAG_BOX_DRAG_START | $5.5 \%$ | Match |
| ITEM_TILE_BOX_DRAG_END | ITEM_TILE_BOX_DRAG_END | $4.1 \%$ | Match |
| ITEM_TILE_BOX_DRAG_START | ITEM_TILE_BOX_DRAG_START | $4.0 \%$ | Match |
| TOOL_ANSWER_MASKING_TOGGLE | TOOL_ANSWER_MASKING_TOGGLE | $\mathbf{4 . 0 \%}$ | Match |
| ITEM_SELECT_DROP_DOWN_select | ITEM_SELECT_DROP_DOWN_select | $\mathbf{2 . 4 \%}$ | Match |
| NAVIGATION_REVIEW_PANEL_CLOSE | NAVIGATION_REVIEW_PANEL_CLOSE | $\mathbf{2 . 0 \%}$ | Match |
| ITEM_MULTIPLE_CHOICE_ANSWER | NAVIGATION_ITEM_NEXT | $\mathbf{1 . 6 \%}$ |  |


| NAVIGATION_ACCESS_CODE_SUBMIT | NAVIGATION_ACCESS_CODE_SUBMIT | $1.5 \%$ | Match |
| :--- | :--- | :--- | :--- | :--- |
| ITEM_BOOKMARK_OFF | ITEM_BOOKMARK_OFF | $1.0 \%$ | Match |
| NAVIGATION_REVIEW_PANEL_OPEN | NAVIGATION_REVIEW_PANEL_OPEN | $1.0 \%$ | Match |
| ITEM_BOOKMARK_ON | ITEM_BOOKMARK_ON | $1.0 \%$ | Match |
| NAVIGATION_PROFILE_CHOOSE | NAVIGATION_PROFILE_CHOOSE | $0.9 \%$ | Match |
| NAVIGATION_PROFILE_LOGIN | NAVIGATION_PROFILE_LOGIN | $0.9 \%$ | Match |
| NAVIGATION_TURN_IN_COMMIT | NAVIGATION_TURN_IN_COMMIT | $0.9 \%$ | Match |
| NAVIGATION_TURN_IN_START | NAVIGATION_TURN_IN_START | $0.9 \%$ | Match |
| NAVIGATION_ITEM_NEXT | ITEM_TILE_BOX_DRAG_START | $\mathbf{0 . 8 \%}$ |  |
| NAVIGATION_ITEM_NEXT | ITEM_DRAG_BOX_DRAG_START | $\mathbf{0 . 8 \%}$ |  |

Table 6 and Table 7 show that more mismatched observed/prediction action pairs exist for the low MAI group than the high MAI group. Among the 20 action pairs, 9 in the low MAI group are mismatched pairs, while only 3 in the high MAI group are mismatched pairs. The percents of mismatched observed/prediction action pairs are also much higher in the low MAI group. The most common mismatched pair in both the low and high MAI groups is the same: when the observed action is "ITEM_MULTIPLE_CHOICE_ANSWER", the predicted action is "NAVIGATION_ITEM_NEXT". The percentage of this pair is $4.5 \%$ for the low MAI group, while it is only $1.6 \%$ for the high MAI group. Additionally, the percents of matched observed/prediction action pairs are much higher in the high MAI group. For example, two matched events, "NAVIGATION_ITEM_NEXT" and "ITEM_MULTIPLE_CHOICE_ANSWER", have the highest probabilities in both the low MAI and high MAI groups. However, in the high MAI group, the percentages of the two most matched action pairs took approximately $50 \%$ of the total action pairs, while their percentages only summed up to $22 \%$ in the low MAI group.

The low MAI group contains several mismatched action pairs related to tool usage, which is not observed in the high MAI group. Specifically, the action of "TOOL_CALCULATOR_TOGGLE" was frequently observed when the predicted action is "ITEM_MULTIPLE_CHOICE_ANSWER". In addition, "TOOL_ANSWER_MASKING_TOGGLE", "TOOL_CALCULATOR_CLOSE",
"TOOL_ANSWER_MASKING_TOGGLE" are also among the identified atypical clickstream actions in the low MAI group. These atypical clickstream actions might indicate test-takers' misuse or misunderstanding of the tools. Clickstream examples will be introduced in the following section to further explain in what conditions test-takers might use the tools in unexpected ways.

It can also be noticed that the low MAI group and high MAI group are different regarding how test-takers use the review panels. In the high MAI group, the action of "NAVIGATION_REVIEW_PANEL_OPEN" seems to be matched with the prediction. Test-takers use the review panel as predicted. However, in the low MAI group, the action of "NAVIGATION_REVIEW_PANEL_OPEN" is often not matched with the prediction. The test-takers seem to be more likely to open the review panel when the predicted action is "NAVIGATION_ITEM_NEXT" or "ITEM_MULTIPLE_CHOICE_ANSWER".

Table 12 in the appendix shows the full list of mismatched events in the low MAI group.

## Examples

In this section, three types of clickstreams are analyzed: 1) clickstream with low MAI by LSTM; 2) clickstream with high MAI by LSTM; 3) clickstreams with large differences on MAI scores between LSTM and MCNA.

## Clickstream Example with Iow MAI by LSTM

Table 8 shows the list of actions (ordered sequentially) for an example clickstream that obtained a low MAI score in this dataset. The corresponding predicted probabilities by LSTM are listed in the last column. In this clickstream, a few peculiar conclusions can be obtained. Firstly, the test-taker starts the test with many actions on using the tools on the first item. This a very rare clickstream pattern. It seems that the test-taker intends to examine the functionality of each tool carefully before reading and answering any test questions. Additionally, the test-taker often toggles the tools during testing, which is
also a relatively uncommon task. Thirdly, the end of this clickstream is "ALERT_INACTIVITY_EXIT" event
instead of "ALERT_PROFILE_EXIT", meaning that the test-taker didn't exit the exam appropriately.

Table 8 List of actions and predicted probabilities for clickstream with Iow MAI by LSTM

| Step | Observed Action | Predicted Probability by LSTM |
| :---: | :---: | :---: |
| 1 | NAVIGATION_PROFILE_LOGIN | 0.94 |
| 2 | NAVIGATION_PROFILE_CHOOSE | 0.90 |
| 3 | NAVIGATION_ACCESS_CODE_SUBMIT | 0.93 |
| 4 | NAVIGATION_DIRECTIONS_CONTINUE | 0.84 |
| 5 | TOOL_TEXT_HIGHLIGHT_TOGGLE | 0.01 |
| 6 | TOOL_TEXT_HIGHLIGHT_SELECTED | 0.29 |
| 7 | TOOL_TEXT_HIGHLIGHT_CANCEL | 0.24 |
| 8 | TOOL_TEXT_HIGHLIGHT_CANCEL | 0.35 |
| 9 | TOOL_TEXT_HIGHLIGHT_CANCEL | 0.57 |
| 10 | TOOL_TEXT_HIGHLIGHT_CANCEL | 0.54 |
| 11 | TOOL_TEXT_HIGHLIGHT_TOGGLE | 0.23 |
| 12 | TOOL_SKETCH_SELECT | 0.14 |
| 13 | TOOL_SKETCH_OPEN | 0.88 |
| 14 | TOOL_SKETCH_SELECT | 0.88 |
| 15 | TOOL_SKETCH_SELECT | 0.51 |
| 16 | TOOL_SKETCH_CLOSE | 0.59 |
| 17 | TOOL_TEXT_HIGHLIGHT_TOGGLE | 0.56 |
| 18 | TOOL_TEXT_HIGHLIGHT_CANCEL_ALL | 0.40 |
| 19 | TOOL_TEXT_HIGHLIGHT_CANCEL_ALL | 0.22 |
| 20 | TOOL_TEXT_HIGHLIGHT_CANCEL_ALL | 0.31 |
| 21 | TOOL_TEXT_HIGHLIGHT_CANCEL_ALL | 0.42 |
| 22 | TOOL_SKETCH_SELECT | 0.13 |
| 23 | TOOL_SKETCH_OPEN | 0.99 |
| 24 | TOOL_SKETCH_SELECT | 0.86 |
| 25 | TOOL_SKETCH_SELECT | 0.22 |
| 26 | TOOL_SKETCH_SELECT | 0.20 |
| 27 | TOOL_SKETCH_CLOSE | 0.53 |
| 28 | TOOL_REFERENCES_TOGGLE | 0.10 |
| 29 | TOOL_REFERENCES_TOGGLE | 0.21 |
| 30 | TOOL_REFERENCES_TOGGLE | 0.46 |
| 31 | TOOL_REFERENCES_OPEN | 0.47 |
| 32 | TOOL_REFERENCES_CLOSE | 0.74 |
| 33 | ITEM_STIMULUS_TOGGLE | 0.17 |
| 34 | ITEM_STIMULUS_TOGGLE | 0.97 |
| 35 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.39 |
| 36 | TOOL_GUIDELINE_OPEN | 0.01 |
| 37 | TOOL_GUIDELINE_CLOSE | 0.72 |
| 38 | TOOL_GUIDELINE_OPEN | 0.10 |
| 39 | TOOL_GUIDELINE_CLOSE | 0.96 |
| 40 | TOOL_GUIDELINE_OPEN | 0.25 |
| 41 | TOOL_GUIDELINE_CLOSE | 0.99 |
| 42 | NAVIGATION_ITEM_NEXT | 0.15 |


| 43 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.46 |
| :---: | :---: | :---: |
| 44 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.23 |
| 45 | TOOL_REFERENCES_CLOSE | 0.00 |
| 46 | NAVIGATION_ITEM_NEXT | 0.20 |
| 47 | TOOL_ANSWER_MASKING_TOGGLE | 0.02 |
| 48 | TOOL_ANSWER_MASKING_TOGGLE | 0.79 |
| 49 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.59 |
| 50 | NAVIGATION_ITEM_NEXT | 0.58 |
| 51 | TOOL_REFERENCES_TOGGLE | 0.02 |
| 52 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.01 |
| 53 | NAVIGATION_ITEM_NEXT | 0.42 |
| 54 | NAVIGATION_ITEM_NEXT | 0.04 |
| 55 | TOOL_ANSWER_MASKING_TOGGLE | 0.11 |
| 56 | TOOL_REFERENCES_TOGGLE | 0.01 |
| 57 | TOOL_REFERENCES_OPEN | 0.70 |
| 58 | TOOL_REFERENCES_CLOSE | 0.57 |
| 59 | TOOL_ANSWER_MASKING_TOGGLE | 0.15 |
| 60 | ITEM_SELECT_DROP_DOWN_select | 0.01 |
| 61 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.09 |
| 62 | NAVIGATION_ITEM_NEXT | 0.47 |
| 63 | TOOL_ANSWER_MASKING_TOGGLE | 0.17 |
| 64 | TOOL_ANSWER_MASKING_TOGGLE | 0.75 |
| 65 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.63 |
| 66 | NAVIGATION_ITEM_NEXT | 0.58 |
| 67 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.49 |
| 68 | NAVIGATION_ITEM_NEXT | 0.66 |
| 69 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.50 |
| 70 | NAVIGATION_ITEM_NEXT | 0.67 |
| 71 | TOOL_ANSWER_MASKING_TOGGLE | 0.12 |
| 72 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.17 |
| 73 | NAVIGATION_ITEM_NEXT | 0.55 |
| 74 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.44 |
| 75 | NAVIGATION_ITEM_NEXT | 0.61 |
| 76 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.43 |
| 77 | ITEM_BOOKMARK_OFF | 0.03 |
| 78 | ITEM_SELECT_DROP_DOWN_select | 0.00 |
| 79 | ITEM_SELECT_DROP_DOWN_select | 0.63 |
| 80 | TOOL_REFERENCES_TOGGLE | 0.00 |
| 81 | TOOL_REFERENCES_OPEN | 0.55 |
| 82 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.15 |
| 83 | TOOL_REFERENCES_CLOSE | 0.27 |
| 84 | ITEM_BOOKMARK_OFF | 0.22 |
| 85 | NAVIGATION_ITEM_NEXT | 0.11 |
| 86 | NAVIGATION_ITEM_NEXT | 0.19 |
| 87 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.15 |
| 88 | ITEM_BOOKMARK_OFF | 0.10 |
| 89 | NAVIGATION_ITEM_NEXT | 0.30 |
| 90 | NAVIGATION_ITEM_NEXT | 0.42 |


| 91 | NAVIGATION_REVIEW_PANEL_OPEN | 0.12 |
| :--- | :--- | :--- |
| 92 | NAVIGATION_TURN_IN_START | 0.52 |
| 93 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.98 |
| 94 | NAVIGATION_TURN_IN_COMMIT | 1.00 |
| 95 | ALERT_INACTIVITY_EXIT | 0.09 |
|  | End Token | 0.73 |
|  | $\mathbf{M A I}$ | $\mathbf{0 . 4 1}$ |

## Clickstream Example with high MAI by LSTM

Table 9 shows the list of actions (ordered sequentially) and their corresponding predicted probabilities by LSTM for an example clickstream with a high MAI score. This clickstream consists of two main actions: navigating to the next item and answering the items. On step 79, when the test-taker suddenly opened the review panel, the action of "NAVIGATION_REVIEW_PANEL_OPEN" has a low predicted probability. However, when it appears on step 92, where the test is almost finished, the predicted probability is very high.

Table 9 List of actions and predicted probabilities for clickstream with high MAI by LSTM

| Step | Observed Action | Predicted Probability by LSTM |
| :---: | :--- | :---: |
| 1 | NAVIGATION_PROFILE_LOGIN | 0.94 |
| 2 | NAVIGATION_PROFILE_CHOOSE | 0.90 |
| 3 | NAVIGATION_ACCESS_CODE_SUBMIT | 0.93 |
| 4 | NAVIGATION_DIRECTIONS_CONTINUE | 0.84 |
| 5 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.38 |
| 6 | NAVIGATION_ITEM_NEXT | 0.51 |
| 7 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.31 |
| 8 | NAVIGATION_ITEM_NEXT | 0.77 |
| 9 | ITEM_DRAG_BOX_DRAG_START | 0.82 |
| 10 | ITEM_DRAG_BOX_DRAG_END | 1.00 |
| 11 | ITEM_DRAG_BOX_DRAG_START | 0.95 |
| 12 | ITEM_DRAG_BOX_DRAG_END | 1.00 |
| 13 | ITEM_DRAG_BOX_DRAG_START | 0.96 |
| 14 | ITEM_DRAG_BOX_DRAG_END | 1.00 |
| 15 | ITEM_DRAG_BOX_DRAG_START | 0.96 |
| 16 | ITEM_DRAG_BOX_DRAG_END | 1.00 |
| 17 | ITEM_DRAG_BOX_DRAG_START | 0.64 |
| 18 | ITEM_DRAG_BOX_DRAG_END | 1.00 |
| 19 | NAVIGATION_ITEM_NEXT | 0.35 |
| 20 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.70 |
| 21 | NAVIGATION_ITEM_NEXT | 0.83 |
| 22 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.84 |


| 23 | NAVIGATION_ITEM_NEXT | 0.79 |
| :---: | :---: | :---: |
| 24 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.82 |
| 25 | NAVIGATION_ITEM_NEXT | 0.84 |
| 26 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.84 |
| 27 | NAVIGATION_ITEM_NEXT | 0.84 |
| 28 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.87 |
| 29 | NAVIGATION_ITEM_NEXT | 0.83 |
| 30 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.88 |
| 31 | NAVIGATION_ITEM_NEXT | 0.83 |
| 32 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.88 |
| 33 | NAVIGATION_ITEM_NEXT | 0.84 |
| 34 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.88 |
| 35 | NAVIGATION_ITEM_NEXT | 0.84 |
| 36 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.88 |
| 37 | NAVIGATION_ITEM_NEXT | 0.85 |
| 38 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.88 |
| 39 | NAVIGATION_ITEM_NEXT | 0.85 |
| 40 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.88 |
| 41 | NAVIGATION_ITEM_NEXT | 0.86 |
| 42 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.89 |
| 43 | NAVIGATION_ITEM_NEXT | 0.87 |
| 44 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.89 |
| 45 | NAVIGATION_ITEM_NEXT | 0.89 |
| 46 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.77 |
| 47 | NAVIGATION_ITEM_NEXT | 0.91 |
| 48 | ITEM_SELECT_DROP_DOWN_select | 0.85 |
| 49 | ITEM_SELECT_DROP_DOWN_select | 0.98 |
| 50 | ITEM_SELECT_DROP_DOWN_select | 0.99 |
| 51 | NAVIGATION_ITEM_NEXT | 0.58 |
| 52 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.91 |
| 53 | NAVIGATION_ITEM_NEXT | 0.88 |
| 54 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 55 | NAVIGATION_ITEM_NEXT | 0.86 |
| 56 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 57 | NAVIGATION_ITEM_NEXT | 0.88 |
| 58 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 59 | NAVIGATION_ITEM_NEXT | 0.89 |
| 60 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 61 | NAVIGATION_ITEM_NEXT | 0.90 |
| 62 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 63 | NAVIGATION_ITEM_NEXT | 0.90 |
| 64 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.93 |
| 65 | NAVIGATION_ITEM_NEXT | 0.88 |
| 66 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.84 |
| 67 | NAVIGATION_ITEM_NEXT | 0.87 |
| 68 | ITEM_TILE_BOX_DRAG_START | 0.90 |
| 69 | ITEM_TILE_BOX_DRAG_END | 1.00 |
| 70 | ITEM_TILE_BOX_DRAG_START | 0.98 |


| 71 | ITEM_TILE_BOX_DRAG_END | 1.00 |
| :--- | :--- | :--- |
| 72 | ITEM_TILE_BOX_DRAG_START | 0.97 |
| 73 | ITEM_TILE_BOX_DRAG_END | 1.00 |
| 74 | ITEM_TILE_BOX_DRAG_START | 0.66 |
| 75 | ITEM_TILE_BOX_DRAG_END | 1.00 |
| 76 | ITEM_TILE_BOX_DRAG_START | 0.72 |
| 77 | ITEM_TILE_BOX_DRAG_END | 1.00 |
| 78 | NAVIGATION_ITEM_NEXT | 0.27 |
| 79 | NAVIGATION_REVIEW_PANEL_OPEN | 0.03 |
| 80 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.99 |
| 81 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.84 |
| 82 | NAVIGATION_ITEM_NEXT | 0.89 |
| 83 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 84 | NAVIGATION_ITEM_NEXT | 0.83 |
| 85 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.93 |
| 86 | NAVIGATION_ITEM_NEXT | 0.87 |
| 87 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 88 | NAVIGATION_ITEM_NEXT | 0.89 |
| 89 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 90 | NAVIGATION_ITEM_NEXT | 0.86 |
| 91 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.92 |
| 92 | NAVIGATION_REVIEW_PANEL_OPEN | 0.90 |
| 93 | NAVIGATION_TURN_IN_START | 0.84 |
| 94 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.98 |
| 95 | NAVIGATION_TURN_IN_COMMIT | 1.00 |
| 96 | ALERT_PROFILE_EXIT | 0.80 |
|  | End Token | 0.78 |

## Clickstream examples with large differences between LSTM MAI and MCNA MAI

Both the LSTM MAI and the MCNA MAI approach could potentially be useful as predictive behavior models. Both approaches might have substantial overlap in their predictions of actions; however, it is clear from the statistical results that differences exist. In this section, two clickstream examples are shown, whereby the MAI values from the LSTM and MCNA models differed substantially. This analysis can help determine the kinds of behavior patterns that the LSTM MAI approach can more successfully model compared to the MCNA approach.

The first example contains repeated actions of "Navigation Item Back" and "Navigation Item Next". In the LSTM model, the predicted probability of these actions is much higher than that of the

MCNA model. The repeated actions might indicate that the test-taker is reviewing the items back and forth. This is a common test-taking strategy, where students review multiple items in a row without changing their answers; however, not every student will use this strategy. The trained LSTM has learned to be able to predict this type of pattern when certain actions are repeated successively. On the contrary, MCNA only assigned a fixed low probability to all the repeated actions, causing the MCNA model to assign a low probability to this behavior pattern. The second clickstream example shows the difference between LSTM and MCNA for a clickstream where the actions of "ITEM BOOKMARK ON" and "ITEM BOOKMARK OFF" occur iteratively. This behavior is somewhat odd, as there's no practical reason for a student to want to engage in this behavior, but when a test-taker starts to repeat this behavior, it's more likely for this cycle of behaviors to continue, and the LSTM model has learned to better predict these cyclical behaviors. Perhaps this is an interesting discussion point, whereby the MCNA result could be sometimes "preferred" in terms of identifying non-sensical behavior patterns, even if those behavior patterns are observed in practice and predictable by an LSTM approach.

Table 10 Example of clickstream - Repeated actions of "Navigation Item Back" and "Navigation Item Next"

| Step | Observed Action | Predicted Probability |  |  |
| :---: | :--- | :---: | :---: | :---: |
|  |  | LSTM | MCNA | RNN |
| 1 | NAVIGATION_PROFILE_LOGIN | 0.94 | 0.93 | 0.93 |
| 2 | ALERT_PROFILE_EXIT | 0.01 | 0.10 | 0.01 |
| 3 | NAVIGATION_PROFILE_LOGIN | 0.95 | 0.15 | 0.96 |
| 4 | NAVIGATION_PROFILE_CHOOSE | 0.89 | 0.78 | 0.95 |
| 5 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.00 | 0.01 | 0.01 |
| 6 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.22 | 0.20 | 0.24 |
| 7 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.33 | 0.20 | 0.52 |
| 8 | TOOL_ANSWER_MASKING_TOGGLE | 0.04 | 0.02 | 0.04 |
| 9 | TOOL_CALCULATOR_TOGGLE | 0.00 | 0.01 | 0.02 |
| 10 | TOOL_CALCULATOR_TOGGLE | 0.37 | 0.39 | 0.48 |
| 11 | TOOL_CALCULATOR_TOGGLE | 0.48 | 0.39 | 0.64 |
| 12 | TOOL_CALCULATOR_OPEN | 0.48 | 0.57 | 0.26 |
| 13 | NAVIGATION_ITEM_NEXT | 0.09 | 0.03 | 0.05 |
| 14 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.24 | 0.57 | 0.08 |
| 15 | NAVIGATION_ITEM_NEXT | 0.58 | 0.70 | 0.66 |
| 16 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.45 | 0.57 | 0.20 |
| 17 | NAVIGATION_ITEM_NEXT | 0.82 | 0.70 | 0.80 |


| 18 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.63 | 0.57 | 0.30 |
| :---: | :---: | :---: | :---: | :---: |
| 19 | NAVIGATION_ITEM_NEXT | 0.79 | 0.70 | 0.84 |
| 20 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.63 | 0.57 | 0.66 |
| 21 | NAVIGATION_ITEM_NEXT | 0.75 | 0.70 | 0.85 |
| 22 | TOOL_CALCULATOR_TOGGLE | 0.07 | 0.06 | 0.05 |
| 23 | TOOL_CALCULATOR_OPEN | 0.66 | 0.57 | 0.64 |
| 24 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.46 | 0.38 | 0.46 |
| 25 | TOOL_CALCULATOR_CLOSE | 0.13 | 0.01 | 0.16 |
| 26 | NAVIGATION_ITEM_NEXT | 0.77 | 0.14 | 0.80 |
| 27 | TOOL_CALCULATOR_TOGGLE | 0.12 | 0.06 | 0.22 |
| 28 | TOOL_CALCULATOR_OPEN | 0.78 | 0.57 | 0.76 |
| 29 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.51 | 0.38 | 0.51 |
| 30 | TOOL_CALCULATOR_CLOSE | 0.13 | 0.01 | 0.19 |
| 31 | NAVIGATION_ITEM_BACK | 0.01 | 0.03 | 0.01 |
| 32 | NAVIGATION_ITEM_BACK | 0.28 | 0.27 | 0.07 |
| 33 | NAVIGATION_ITEM_BACK | 0.69 | 0.27 | 0.45 |
| 34 | NAVIGATION_ITEM_BACK | 0.83 | 0.27 | 0.39 |
| 35 | NAVIGATION_ITEM_BACK | 0.80 | 0.27 | 0.55 |
| 36 | NAVIGATION_ITEM_BACK | 0.75 | 0.27 | 0.48 |
| 37 | NAVIGATION_ITEM_BACK | 0.77 | 0.27 | 0.71 |
| 38 | NAVIGATION_ITEM_BACK | 0.82 | 0.27 | 0.73 |
| 39 | NAVIGATION_ITEM_BACK | 0.83 | 0.27 | 0.79 |
| 40 | NAVIGATION_ITEM_BACK | 0.84 | 0.27 | 0.79 |
| 41 | NAVIGATION_ITEM_BACK | 0.85 | 0.27 | 0.83 |
| 42 | NAVIGATION_ITEM_BACK | 0.85 | 0.27 | 0.83 |
| 43 | NAVIGATION_ITEM_BACK | 0.86 | 0.27 | 0.85 |
| 44 | NAVIGATION_ITEM_BACK | 0.87 | 0.27 | 0.83 |
| 45 | NAVIGATION_ITEM_BACK | 0.88 | 0.27 | 0.84 |
| 46 | NAVIGATION_ITEM_BACK | 0.89 | 0.27 | 0.83 |
| 47 | NAVIGATION_ITEM_BACK | 0.90 | 0.27 | 0.85 |
| 48 | NAVIGATION_ITEM_BACK | 0.90 | 0.27 | 0.85 |
| 49 | NAVIGATION_ITEM_BACK | 0.91 | 0.27 | 0.85 |
| 50 | NAVIGATION_ITEM_BACK | 0.91 | 0.27 | 0.86 |
| 51 | NAVIGATION_ITEM_BACK | 0.91 | 0.27 | 0.86 |
| 52 | NAVIGATION_ITEM_BACK | 0.91 | 0.27 | 0.86 |
| 53 | NAVIGATION_ITEM_BACK | 0.91 | 0.27 | 0.86 |
| 54 | NAVIGATION_ITEM_BACK | 0.91 | 0.27 | 0.86 |
| 55 | TOOL_CALCULATOR_TOGGLE | 0.02 | 0.03 | 0.01 |
| 56 | TOOL_CALCULATOR_TOGGLE | 0.17 | 0.39 | 0.45 |
| 57 | TOOL_CALCULATOR_OPEN | 0.82 | 0.57 | 0.50 |
| 58 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.31 | 0.38 | 0.09 |
| 59 | TOOL_CALCULATOR_CLOSE | 0.28 | 0.01 | 0.27 |
| 60 | NAVIGATION_ITEM_BACK | 0.50 | 0.03 | 0.04 |
| 61 | NAVIGATION_ITEM_BACK | 0.43 | 0.27 | 0.07 |
| 62 | NAVIGATION_ITEM_BACK | 0.77 | 0.27 | 0.49 |
| 63 | NAVIGATION_ITEM_BACK | 0.84 | 0.27 | 0.46 |


| 64 | NAVIGATION_ITEM_BACK | 0.89 | 0.27 | 0.59 |
| :---: | :---: | :---: | :---: | :---: |
| 65 | NAVIGATION_ITEM_NEXT | 0.04 | 0.28 | 0.11 |
| 66 | NAVIGATION_ITEM_NEXT | 0.65 | 0.11 | 0.54 |
| 67 | NAVIGATION_ITEM_NEXT | 0.90 | 0.11 | 0.60 |
| 68 | NAVIGATION_ITEM_NEXT | 0.91 | 0.11 | 0.60 |
| 69 | NAVIGATION_ITEM_NEXT | 0.88 | 0.11 | 0.61 |
| 70 | NAVIGATION_ITEM_NEXT | 0.79 | 0.11 | 0.72 |
| 71 | NAVIGATION_ITEM_NEXT | 0.71 | 0.11 | 0.80 |
| 72 | NAVIGATION_ITEM_NEXT | 0.68 | 0.11 | 0.84 |
| 73 | NAVIGATION_ITEM_NEXT | 0.68 | 0.11 | 0.87 |
| 74 | NAVIGATION_ITEM_NEXT | 0.71 | 0.11 | 0.88 |
| 75 | NAVIGATION_ITEM_NEXT | 0.77 | 0.11 | 0.89 |
| 76 | NAVIGATION_ITEM_NEXT | 0.79 | 0.11 | 0.89 |
| 77 | NAVIGATION_ITEM_NEXT | 0.79 | 0.11 | 0.90 |
| 78 | NAVIGATION_ITEM_NEXT | 0.80 | 0.11 | 0.90 |
| 79 | NAVIGATION_ITEM_NEXT | 0.82 | 0.11 | 0.90 |
| 80 | NAVIGATION_ITEM_NEXT | 0.83 | 0.11 | 0.90 |
| 81 | NAVIGATION_ITEM_NEXT | 0.84 | 0.11 | 0.90 |
| 82 | NAVIGATION_ITEM_NEXT | 0.85 | 0.11 | 0.90 |
| 83 | NAVIGATION_ITEM_NEXT | 0.86 | 0.11 | 0.90 |
| 84 | NAVIGATION_ITEM_NEXT | 0.86 | 0.11 | 0.90 |
| 85 | NAVIGATION_ITEM_NEXT | 0.86 | 0.11 | 0.90 |
| 86 | NAVIGATION_ITEM_NEXT | 0.86 | 0.11 | 0.90 |
| 87 | NAVIGATION_ITEM_NEXT | 0.86 | 0.11 | 0.90 |
| 88 | NAVIGATION_ITEM_NEXT | 0.85 | 0.11 | 0.90 |
| 89 | NAVIGATION_ITEM_NEXT | 0.85 | 0.11 | 0.90 |
| 90 | NAVIGATION_ITEM_NEXT | 0.85 | 0.11 | 0.90 |
| 91 | NAVIGATION_ITEM_NEXT | 0.85 | 0.11 | 0.90 |
| 92 | NAVIGATION_ITEM_NEXT | 0.84 | 0.11 | 0.90 |
| 93 | NAVIGATION_ITEM_NEXT | 0.84 | 0.11 | 0.90 |
| 94 | NAVIGATION_REVIEW_PANEL_OPEN | 0.10 | 0.04 | 0.06 |
| 95 | NAVIGATION_TURN_IN_START | 0.72 | 0.23 | 0.60 |
| 96 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.98 | 0.98 | 0.95 |
| 97 | NAVIGATION_TURN_IN_COMMIT | 1.00 | 0.23 | 1.00 |
| 98 | ALERT_INACTIVITY_EXIT | 0.08 | 0.09 | 0.08 |
| 99 | NAVIGATION_PROFILE_LOGIN | 0.37 | 0.33 | 0.41 |
|  | End Token | 0.35 | 0.03 | 0.28 |
|  | MAI | 0.64 | 0.25 | 0.60 |

415
416

Table 11 Example of clickstream - Repeated actions of "ITEM BOOKMARK ON" and " ITEM BOOKMARK OFF"

|  |  | Predicted Probability |  |  |
| :--- | :--- | ---: | ---: | ---: |
|  | The Clickstream Sequence | LSTM | MCNA | RNN |
| 1 | NAVIGATION_PROFILE_LOGIN | 0.94 | 0.93 | 0.93 |
| 2 | NAVIGATION_PROFILE_CHOOSE | 0.90 | 0.78 | 0.93 |


| 3 | NAVIGATION_ACCESS_CODE_SUBMIT | 0.93 | 0.90 | 0.90 |
| :---: | :---: | :---: | :---: | :---: |
| 4 | NAVIGATION_DIRECTIONS_CONTINUE | 0.84 | 0.75 | 0.90 |
| 5 | NAVIGATION_ITEM_NEXT | 0.22 | 0.25 | 0.19 |
| 6 | NAVIGATION_ITEM_BACK | 0.94 | 0.07 | 0.88 |
| 7 | ITEM_BOOKMARK_ON | 0.67 | 0.11 | 0.66 |
| 8 | NAVIGATION_REVIEW_PANEL_OPEN | 0.77 | 0.41 | 0.77 |
| 9 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.95 | 0.75 | 0.94 |
| 10 | NAVIGATION_ITEM_JUMP | 0.73 | 0.46 | 0.85 |
| 11 | NAVIGATION_REVIEW_PANEL_OPEN | 0.11 | 0.25 | 0.13 |
| 12 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.98 | 0.75 | 0.98 |
| 13 | NAVIGATION_ITEM_JUMP | 0.85 | 0.46 | 0.88 |
| 14 | NAVIGATION_REVIEW_PANEL_OPEN | 0.17 | 0.25 | 0.23 |
| 15 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.98 | 0.75 | 0.99 |
| 16 | NAVIGATION_ITEM_JUMP | 0.87 | 0.46 | 0.90 |
| 17 | NAVIGATION_REVIEW_PANEL_OPEN | 0.13 | 0.25 | 0.33 |
| 18 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.98 | 0.75 | 0.99 |
| 19 | NAVIGATION_ITEM_JUMP | 0.86 | 0.46 | 0.92 |
| 20 | ITEM_BOOKMARK_OFF | 0.82 | 0.19 | 0.28 |
| 21 | ITEM_BOOKMARK_ON | 0.05 | 0.20 | 0.09 |
| 22 | ITEM_BOOKMARK_OFF | 0.85 | 0.32 | 0.80 |
| 23 | ITEM_BOOKMARK_ON | 0.35 | 0.20 | 0.42 |
| 24 | ITEM_BOOKMARK_OFF | 0.94 | 0.32 | 0.89 |
| 25 | ITEM_BOOKMARK_ON | 0.72 | 0.20 | 0.78 |
| 26 | ITEM_BOOKMARK_OFF | 0.96 | 0.32 | 0.92 |
| 27 | ITEM_BOOKMARK_ON | 0.84 | 0.20 | 0.85 |
| 28 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 29 | ITEM_BOOKMARK_ON | 0.87 | 0.20 | 0.87 |
| 30 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 31 | ITEM_BOOKMARK_ON | 0.89 | 0.20 | 0.87 |
| 32 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 33 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 34 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 35 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 36 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 37 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 38 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 39 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 40 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 41 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 42 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 43 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 44 | ITEM_BOOKMARK_OFF | 0.98 | 0.32 | 0.93 |
| 45 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 46 | ITEM_BOOKMARK_OFF | 0.98 | 0.32 | 0.93 |
| 47 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 48 | ITEM_BOOKMARK_OFF | 0.98 | 0.32 | 0.93 |


| 49 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| :---: | :---: | :---: | :---: | :---: |
| 50 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 51 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 52 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 53 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 54 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 55 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 56 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 57 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 58 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 59 | ITEM_BOOKMARK_ON | 0.91 | 0.20 | 0.87 |
| 60 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 61 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 62 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 63 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 64 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 65 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 66 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 67 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 68 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 69 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 70 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 71 | ITEM_BOOKMARK_ON | 0.90 | 0.20 | 0.87 |
| 72 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 73 | ITEM_BOOKMARK_ON | 0.89 | 0.20 | 0.87 |
| 74 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 75 | ITEM_BOOKMARK_ON | 0.89 | 0.20 | 0.87 |
| 76 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 77 | ITEM_BOOKMARK_ON | 0.89 | 0.20 | 0.87 |
| 78 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 79 | ITEM_BOOKMARK_ON | 0.89 | 0.20 | 0.87 |
| 80 | ITEM_BOOKMARK_OFF | 0.97 | 0.32 | 0.93 |
| 81 | TOOL_SKETCH_CLOSE | 0.01 | 0.00 | 0.00 |
| 82 | TOOL_TEXT_HIGHLIGHT_TOGGLE | 0.10 | 0.37 | 0.50 |
| 83 | TOOL_TEXT_HIGHLIGHT_SELECTED | 0.50 | 0.26 | 0.20 |
| 84 | TOOL_TEXT_HIGHLIGHT_CANCEL_ALL | 0.36 | 0.29 | 0.33 |
| 85 | TOOL_TEXT_HIGHLIGHT_TOGGLE | 0.79 | 0.59 | 0.70 |
| 86 | TOOL_CALCULATOR_TOGGLE | 0.42 | 0.19 | 0.54 |
| 87 | TOOL_CALCULATOR_OPEN | 0.53 | 0.57 | 0.48 |
| 88 | TOOL_CALCULATOR_CLOSE | 0.91 | 0.49 | 0.91 |
| 89 | TOOL_REFERENCES_TOGGLE | 0.43 | 0.07 | 0.61 |
| 90 | TOOL_REFERENCES_OPEN | 0.83 | 0.62 | 0.79 |
| 91 | TOOL_REFERENCES_CLOSE | 0.92 | 0.67 | 0.87 |
| 92 | NAVIGATION_ITEM_NEXT | 0.25 | 0.16 | 0.24 |
| 93 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.61 | 0.57 | 0.62 |
| 94 | NAVIGATION_ITEM_NEXT | 0.78 | 0.70 | 0.77 |


| 95 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.47 | 0.57 | 0.05 |
| :--- | :--- | :--- | :--- | :--- |
| 96 | NAVIGATION_ITEM_NEXT | 0.77 | 0.70 | 0.80 |
| 97 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.75 | 0.57 | 0.40 |
| 98 | NAVIGATION_ITEM_NEXT | 0.81 | 0.70 | 0.84 |
| 99 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.76 | 0.57 | 0.69 |
| 100 | NAVIGATION_ITEM_NEXT | 0.83 | 0.70 | 0.84 |
| 101 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.76 | 0.57 | 0.75 |
| 102 | NAVIGATION_ITEM_NEXT | 0.82 | 0.70 | 0.80 |
| 103 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.75 | 0.57 | 0.76 |
| 104 | NAVIGATION_ITEM_NEXT | 0.82 | 0.70 | 0.79 |
| 105 | NAVIGATION_REVIEW_PANEL_OPEN | 0.02 | 0.04 | 0.05 |
| 106 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.84 | 0.75 | 0.98 |
| 107 | ITEM_TILE_BOX_DRAG_START | 0.02 | 0.00 | 0.00 |
| 108 | ITEM_TILE_BOX_DRAG_END | 0.98 | 1.00 | 0.97 |
| 109 | ITEM_TILE_BOX_DRAG_START | 0.92 | 0.79 | 0.91 |
| 110 | ITEM_TILE_BOX_DRAG_END | 1.00 | 1.00 | 1.00 |
| 111 | ITEM_TILE_BOX_DRAG_START | 0.87 | 0.79 | 0.94 |
| 112 | ITEM_TILE_BOX_DRAG_END | 0.99 | 1.00 | 1.00 |
| 113 | NAVIGATION_ITEM_NEXT | 0.28 | 0.16 | 0.25 |
| 114 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.82 | 0.57 | 0.79 |
| 115 | NAVIGATION_ITEM_NEXT | 0.69 | 0.70 | 0.80 |
| 116 | ITEM_MULTIPLE_CHOICE_ANSWER | 0.77 | 0.57 | 0.77 |
| 117 | NAVIGATION_ITEM_NEXT | 0.70 | 0.70 | 0.86 |
| 118 | NAEM_MULTIPLE_CHOICE_ANSWER | 0.81 | 0.57 | 0.85 |
| 119 | ITEM | NAVIGATION_REVIEW_PANEL_OPEN | 0.27 | 0.04 |
| 120 | NAVIGATION_TURN_IN_START | 0.88 | 0.23 | 0.62 |
| 121 | NAVIGATION_REVIEW_PANEL_CLOSE | 0.97 | 0.98 | 0.99 |
| 122 |  |  | 1.00 | 0.23 |

## Discussion

This study evaluated the performance of three behavior sequence prediction models: LSTM, RNN, and MCNA (bigram). The MAI statistic was defined and used to quantify 'typical' and 'atypical' testtaking behaviors in clickstreams. Among the three models, the LSTM model had the highest prediction accuracy compared to the two baseline approaches. MCNA and LSTM sometimes generated different MAI results, especially when repeated actions occur during testing.

The MAI indices are also compared to students' performance and other traditional aberrance detection indicators. Results show that students with the lowest and highest achievements show more typical behavior patterns, while students in the middle level of performance have more atypical behaviors. However, the amount of MAI difference is relatively small across the performance groups. This finding is to some extent expected. Unlike the process data from problem-solving items, the clickstream actions for multiple-choice items are less likely to be related to students' performance. On the other hand, MAI is moderately negatively correlated with answer change indices. When an examinee changes the answers for many times, MAI will identify the clickstream as atypical. The MAI based on LSTM is more correlated with these indices, compared to the MAI based on MCNA.

In addition, atypical behavior patterns are identified in the clickstreams with low MAI scores. In our case study analysis of a low MAI clickstream, the test-taker apparently repeatedly opened and closed each of the available tools on the first item before answering it. Such behavior is very uncommon among all the test-takers. Moreover, we compared the action frequencies between low MAI and high MAI groups. The most common "typical" and "atypical" actions and their frequency were substantially different between low and high MAI groups. Quite a few mismatching predictions were related to tool usage. For example, calculator toggle was observed more commonly in the low "MAI" group, appearing more rarely in the high MAI group.

This study is limited in several ways. Firstly, the clickstream data in this study comes from only one test session in a math summative assessment. The test consists of multiple-choice items and technology-enhanced items only. Thus, the findings from this study might not generalize to different tests. Secondly, it is possible that the data of some clickstreams was corrupted and is missing data in unpredictable ways. Clickstream data are typically collected from a test delivery system where tens of thousands of clickstreams might be tracked at the same time. In the current data file, we noticed missing information on some students' login actions. However, missingness in other parts of the
clickstream is more difficult to detect. To decrease the impact of data missingness, we removed clickstreams with extremely short length (less than 30 actions) in this study. Finally, interpreting the behavioral predictive model results are less straightforward compared to models where input features are more strictly defined. The LSTM model does not explain why one individual's clickstream achieves a high MAI and a different one achieves a low MAI. Since the model depends entirely on the training data and the distribution of behaviors in the training data, the interpretations about what "low" or "high" MAI means in terms of actual behaviors will always depend on post-hoc analysis of examinee behavior clickstreams at varying levels of MAI. In all circumstances, a low MAI indicates that the behaviors of an individual were less expected relative to the population of other test-takers.

The overarching goal of this line of research is to be able to quantify how "typical" or "atypical" a test-takers' behaviors are. When something "atypical" happens, then stakeholders can identify what is going on and determine whether any remediation or action is necessary. In the current study, an LSTM approach towards behavior modeling was proposed, borrowing from sequence prediction methods that have been utilized in the rapidly advancing language modeling field. LSTM approaches allow for prediction models to learn exclusively from the training data, rather than relying on any engineered, pre-conceived notion of what behavior patterns ought to be. A downstream application of the proposed methodology would be to apply it as an additional surveying or monitoring technique, in conjunction with other process data and test security analysis protocols. Future studies could improve upon the current study by collecting more precise clickstream data, including response time information in the behavior prediction models, or using alternative sequence behavior prediction models. It would also be an interesting study to apply MAI to other types of clickstream data, including more complex process data from interactive problem-solving items or collaborative tasks.

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## Appendix

Table 12 Full List of Mismatched observed and predicted clickstream actions of the "Low MAI" group

| Observed Action | Predicted Action by LSTM | N | Percent |
| :---: | :---: | :---: | :---: |
| ITEM_MULTIPLE_CHOICE_ANSWER | NAVIGATION_ITEM_NEXT | 397 | 4.5\% |
| TOOL_CALCULATOR_TOGGLE | ITEM_MULTIPLE_CHOICE_ANSWER | 227 | 2.6\% |
| NAVIGATION_ITEM_NEXT | ITEM_MULTIPLE_CHOICE_ANSWER | 223 | 2.5\% |
| TOOL_ANSWER_MASKING_TOGGLE | ITEM_MULTIPLE_CHOICE_ANSWER | 168 | 1.9\% |
| TOOL_CALCULATOR_CLOSE | ITEM_MULTIPLE_CHOICE_ANSWER | 144 | 1.6\% |
| TOOL_CALCULATOR_TOGGLE | TOOL_CALCULATOR_OPEN | 134 | 1.5\% |
| TOOL_ANSWER_MASKING_TOGGLE | NAVIGATION_ITEM_NEXT | 115 | 1.3\% |
| NAVIGATION_REVIEW_PANEL_OPEN | NAVIGATION_ITEM_NEXT | 104 | 1.2\% |
| NAVIGATION_REVIEW_PANEL_OPEN | ITEM_MULTIPLE_CHOICE_ANSWER | 99 | 1.1\% |
| NAVIGATION_ITEM_BACK | ITEM_MULTIPLE_CHOICE_ANSWER | 96 | 1.1\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | TOOL_CALCULATOR_CLOSE | 90 | 1.0\% |
| NAVIGATION_ITEM_BACK | NAVIGATION_ITEM_NEXT | 79 | 0.9\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | TOOL_ANSWER_MASKING_TOGGLE | 78 | 0.9\% |
| NAVIGATION_ITEM_NEXT | NAVIGATION_ITEM_BACK | 73 | 0.8\% |
| TOOL_CALCULATOR_CLOSE | NAVIGATION_ITEM_NEXT | 66 | 0.7\% |
| NAVIGATION_ITEM_NEXT | TOOL_ANSWER_MASKING_TOGGLE | 58 | 0.7\% |
| TOOL_SKETCH_CLOSE | TOOL_SKETCH_SELECT | 54 | 0.6\% |
| TOOL_CALCULATOR_TOGGLE | NAVIGATION_ITEM_NEXT | 51 | 0.6\% |
| TOOL_REFERENCES_TOGGLE | ITEM_MULTIPLE_CHOICE_ANSWER | 50 | 0.6\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | NAVIGATION_ITEM_BACK | 44 | 0.5\% |
| TOOL_REFERENCES_TOGGLE | TOOL_REFERENCES_OPEN | 41 | 0.5\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | NAVIGATION_REVIEW_PANEL_OPEN | 38 | 0.4\% |
| TOOL_CALCULATOR_OPEN | TOOL_CALCULATOR_TOGGLE | 38 | 0.4\% |
| ITEM_SELECT_DROP_DOWN_select | ITEM_MULTIPLE_CHOICE_ANSWER | 34 | 0.4\% |
| NAVIGATION_ITEM_NEXT | NAVIGATION_REVIEW_PANEL_OPEN | 34 | 0.4\% |
| NAVIGATION_REVIEW_PANEL_CLOSE | NAVIGATION_TURN_IN_START | 33 | 0.4\% |
| NAVIGATION_ITEM_NEXT | TOOL_CALCULATOR_CLOSE | 29 | 0.3\% |
| NAVIGATION_TURN_IN_START | NAVIGATION_REVIEW_PANEL_CLOSE | 27 | 0.3\% |
| TOOL_CALCULATOR_TOGGLE | TOOL_CALCULATOR_CLOSE | 25 | 0.3\% |
| NAVIGATION_ITEM_NEXT | ITEM_TILE_BOX_DRAG_START | 24 | 0.3\% |
| NAVIGATION_PROFILE_CHOOSE | NAVIGATION_PROFILE_LOGIN | 23 | 0.3\% |
| End Token | ALERT_PROFILE_EXIT | 23 | 0.3\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | TOOL_CALCULATOR_TOGGLE | 22 | 0.2\% |
| NAVIGATION_ITEM_NEXT | ITEM_SELECT_DROP_DOWN_select | 22 | 0.2\% |
| ITEM_BOOKMARK_OFF | NAVIGATION_ITEM_NEXT | 21 | 0.2\% |
| ITEM_BOOKMARK_ON | ITEM_MULTIPLE_CHOICE_ANSWER | 20 | 0.2\% |
| TOOL_CALCULATOR_TOGGLE | NAVIGATION_ITEM_BACK | 20 | 0.2\% |
| NAVIGATION_REVIEW_PANEL_OPEN | NAVIGATION_ITEM_JUMP | 18 | 0.2\% |
| TOOL_SKETCH_OPEN | ITEM_MULTIPLE_CHOICE_ANSWER | 18 | 0.2\% |
| ITEM_TILE_BOX_DRAG_START | ITEM_MULTIPLE_CHOICE_ANSWER | 17 | 0.2\% |
| NAVIGATION_PROFILE_LOGIN | End Token | 17 | 0.2\% |
| ITEM_BOOKMARK_ON | NAVIGATION_ITEM_NEXT | 16 | 0.2\% |


| ITEM_MULTIPLE_CHOICE_ANSWER | TOOL_REFERENCES_CLOSE | 15 | 0.2\% |
| :---: | :---: | :---: | :---: |
| TOOL_ANSWER_MASKING_TOGGLE | NAVIGATION_ITEM_BACK | 15 | 0.2\% |
| TOOL_CALCULATOR_TOGGLE | TOOL_ANSWER_MASKING_TOGGLE | 15 | 0.2\% |
| ALERT_INACTIVITY_EXIT | ALERT_PROFILE_EXIT | 14 | 0.2\% |
| NAVIGATION_ITEM_JUMP | ITEM_MULTIPLE_CHOICE_ANSWER | 14 | 0.2\% |
| TOOL_REFERENCES_OPEN | TOOL_REFERENCES_TOGGLE | 14 | 0.2\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | NAVIGATION_ITEM_JUMP | 13 | 0.1\% |
| TOOL_CALCULATOR_CLOSE | NAVIGATION_ITEM_BACK | 13 | 0.1\% |
| TOOL_REFERENCES_CLOSE | ITEM_MULTIPLE_CHOICE_ANSWER | 13 | 0.1\% |
| TOOL_REFERENCES_TOGGLE | NAVIGATION_ITEM_NEXT | 13 | 0.1\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | ITEM_SELECT_DROP_DOWN_select | 12 | 0.1\% |
| ITEM_SELECT_DROP_DOWN_select | NAVIGATION_ITEM_NEXT | 12 | 0.1\% |
| TOOL_TEXT_HIGHLIGHT_TOGGLE | ITEM_MULTIPLE_CHOICE_ANSWER | 12 | 0.1\% |
| NAVIGATION_ITEM_NEXT | NAVIGATION_ITEM_JUMP | 11 | 0.1\% |
| TOOL_REFERENCES_TOGGLE | TOOL_CALCULATOR_OPEN | 11 | 0.1\% |
| TOOL_REFERENCES_TOGGLE | TOOL_CALCULATOR_TOGGLE | 11 | 0.1\% |
| ALERT_INACTIVITY_EXIT | End Token | 10 | 0.1\% |
| ALERT_PROFILE_EXIT | End Token | 10 | 0.1\% |
| ITEM_BOOKMARK_OFF | NAVIGATION_REVIEW_PANEL_OPEN | 10 | 0.1\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | ITEM_DRAG_BOX_DRAG_START | 10 | 0.1\% |
| ITEM_MULTIPLE_CHOICE_ANSWER | TOOL_CALCULATOR_OPEN | 10 | 0.1\% |
| NAVIGATION_ACCESS_CODE_SUBMIT | NAVIGATION_PROFILE_CHOOSE | 10 | 0.1\% |
| NAVIGATION_DIRECTIONS_CONTINUE | NAVIGATION_PROFILE_CHOOSE | 10 | 0.1\% |
| NAVIGATION_REVIEW_PANEL_OPEN | TOOL_CALCULATOR_CLOSE | 10 | 0.1\% |

- Note: The events with less than 10 counts are removed from the list.


## Table 13 Clickstream Action List

| Action | Code of Action |
| :--- | :---: |
| NULL_RECORD | 0 |
| ALERT_DIRECTIONS_EXIT | 1 |
| ALERT_DIRE_WARNING_CLOSE | 2 |
| ALERT_DIRE_WARNING_RETRY | 3 |
| ALERT_FINAL_SCORE_UNAVAILABLE_CLOSE | 4 |
| ALERT_INACTIVITY_EXIT | 5 |
| ALERT_LOCK_TIMEOUT_EXIT | 6 |
| ALERT_OFFLINE_WARNING_CLOSE | 7 |
| ALERT_OFFLINE_WARNING_READ | 8 |
| ALERT_PROCTOR_PASSWORD_SUBMIT | 9 |
| ALERT_PROFILE_EXIT | 10 |
| ALERT_SIMULTANEOUS_USER_CLOSE | 11 |
| ALERT_START_TEST_ERROR_CLOSE | 12 |
| ALERT_START_TEST_ERROR_RETRY | 13 |
| ALERT_TIMEOUT_CLOSE | 14 |
| ALERT_TTS_FAILURE_CLOSE | 15 |
| ITEM_BOOKMARK_OFF | 16 |


| ITEM_BOOKMARK_ON | 17 |
| :---: | :---: |
| ITEM_CLEAR_CANCEL | 18 |
| ITEM_CLEAR_COMMIT | 19 |
| ITEM_CLEAR_START | 20 |
| ITEM_CONNECTION_match | 21 |
| ITEM_CONNECTION_unmatch | 22 |
| ITEM_DRAG_BOX_DRAG_END | 23 |
| ITEM_DRAG_BOX_DRAG_START | 24 |
| ITEM_HOTSPOT_select | 25 |
| ITEM_HOTSPOT_unselect | 26 |
| ITEM_MATH_EQUATION_CANCEL | 27 |
| ITEM_MATH_EQUATION_OPEN | 28 |
| ITEM_MATH_EQUATION_SELECT | 29 |
| ITEM_MULTIPLE_CHOICE_ANSWER | 30 |
| ITEM_MULTIPLE_CHOICE_Eliminate | 31 |
| ITEM_MULTIPLE_CHOICE_UnEliminate | 32 |
| ITEM_OPEN_ENDED_BLUR | 33 |
| ITEM_OPEN_ENDED_BOLD | 34 |
| ITEM_OPEN_ENDED_COPY | 35 |
| ITEM_OPEN_ENDED_CUT | 36 |
| ITEM_OPEN_ENDED_FOCUS | 37 |
| ITEM_OPEN_ENDED_ITALIC | 38 |
| ITEM_OPEN_ENDED_PASTE | 39 |
| ITEM_OPEN_ENDED_REDO | 40 |
| ITEM_OPEN_ENDED_SPELLCHECK_OFF | 41 |
| ITEM_OPEN_ENDED_SPELLCHECK_ON | 42 |
| ITEM_OPEN_ENDED_UNDERLINE | 43 |
| ITEM_OPEN_ENDED_UNDO | 44 |
| ITEM_SELECTTEXT_select | 45 |
| ITEM_SELECTTEXT_unselect | 46 |
| ITEM_SELECT_DROP_DOWN_select | 47 |
| ITEM_STIMULUS_SELECT | 48 |
| ITEM_STIMULUS_TOGGLE | 49 |
| ITEM_TILE_BOX_DRAG_END | 50 |
| ITEM_TILE_BOX_DRAG_START | 51 |
| NAVIGATION_ACCESS_CODE_CANCEL | 52 |
| NAVIGATION_ACCESS_CODE_SUBMIT | 53 |
| NAVIGATION_ACCOMMODATION_OPTIONS_C ONTINUE | 54 |
| NAVIGATION_DIRECTIONS_ACCOMMODATIO N_CLOSE | 55 |
| NAVIGATION_DIRECTIONS_ACCOMMODATIO N OPEN | 56 |
| NAVIGATION_DIRECTIONS_CONTINUE | 57 |
| NAVIGATION_FINAL_SCORE_CLOSE | 58 |
| NAVIGATION_ITEM_BACK | 59 |
| NAVIGATION_ITEM_JUMP | 60 |
| NAVIGATION_ITEM_NEXT | 61 |


| NAVIGATION_LOCK_RESUME | 62 |
| :---: | :---: |
| NAVIGATION_LOCK_SIGN_OUT | 63 |
| NAVIGATION_PAUSE_CANCEL | 64 |
| NAVIGATION_PAUSE_COMMIT | 65 |
| NAVIGATION_PAUSE_LOCK | 66 |
| NAVIGATION_PROFILE_CHOOSE | 67 |
| NAVIGATION_PROFILE_LOGIN | 68 |
| NAVIGATION_REVIEW_PANEL_CLOSE | 69 |
| NAVIGATION_REVIEW_PANEL_OPEN | 70 |
| NAVIGATION_SECTION_DENIED_CLOSE | 71 |
| NAVIGATION_SECTION_WARNING_CANCEL | 72 |
| NAVIGATION_SECTION_WARNING_COMMIT | 73 |
| NAVIGATION_SHOW_ANSWER_CLOSE | 74 |
| NAVIGATION_SHOW_ANSWER_OPEN | 75 |
| NAVIGATION_SHOW_ANSWER_SELECT | 76 |
| NAVIGATION_TURN_IN_CANCEL | 77 |
| NAVIGATION_TURN_IN_COMMIT | 78 |
| NAVIGATION_TURN_IN_START | 79 |
| NAVIGATION_trigger_START | 80 |
| TOOL_ANSWER_MASKING_DISABLE | 81 |
| TOOL_ANSWER_MASKING_ENABLE | 82 |
| TOOL_ANSWER_MASKING_TOGGLE | 83 |
| TOOL_CALCULATOR_CLOSE | 84 |
| TOOL_CALCULATOR_OPEN | 85 |
| TOOL_CALCULATOR_TOGGLE | 86 |
| TOOL_COLOR_SCHEME_DISABLE | 87 |
| TOOL_COLOR_SCHEME_ENABLE | 88 |
| TOOL_COLOR_SCHEME_OFF | 89 |
| TOOL_COLOR_SCHEME_ON | 90 |
| TOOL_COLOR_SCHEME_TOGGLE | 91 |
| TOOL_CUSTOM_MASKING_CLOSE | 92 |
| TOOL_CUSTOM_MASKING_DISABLE | 93 |
| TOOL_CUSTOM_MASKING_ENABLE | 94 |
| TOOL_CUSTOM_MASKING_OPEN | 95 |
| TOOL_CUSTOM_MASKING_TOGGLE | 96 |
| TOOL_DICTIONARY_CLOSE | 97 |
| TOOL_DICTIONARY_OPEN | 98 |
| TOOL_DICTIONARY_TOGGLE | 99 |
| TOOL_Eliminator_DISABLE | 100 |
| TOOL_Eliminator_ENABLE | 101 |
| TOOL_GUIDELINE_CLOSE | 102 |
| TOOL_GUIDELINE_DISABLE | 103 |
| TOOL_GUIDELINE_ENABLE | 104 |
| TOOL_GUIDELINE_OPEN | 105 |
| TOOL_MASKING_DISABLE | 106 |
| TOOL_MASKING_ENABLE | 107 |
| TOOL_NOTEPAD_BLUR | 108 |
| TOOL NOTEPAD_CLOSE | 109 |


| TOOL_NOTEPAD_OPEN | 110 |
| :---: | :---: |
| TOOL_PROTRACTOR_CLOSE | 111 |
| TOOL_PROTRACTOR_OPEN | 112 |
| TOOL_REFERENCES_CLOSE | 113 |
| TOOL_REFERENCES_OPEN | 114 |
| TOOL_REFERENCES_TOGGLE | 115 |
| TOOL_REVERSE_CONTRAST_DISABLE | 116 |
| TOOL_REVERSE_CONTRAST_ENABLE | 117 |
| TOOL_REVERSE_CONTRAST_OFF | 118 |
| TOOL_REVERSE_CONTRAST_ON | 119 |
| TOOL_RULER_CLOSE | 120 |
| TOOL_RULER_OPEN | 121 |
| TOOL_RULER_TOGGLE | 122 |
| TOOL_SIGNING_DISABLE | 123 |
| TOOL_SIGNING_ENABLE | 124 |
| TOOL_SKETCH_CLOSE | 125 |
| TOOL_SKETCH_OPEN | 126 |
| TOOL_SKETCH_SELECT | 127 |
| TOOL_TEXT_HIGHLIGHT_CANCEL | 128 |
| TOOL_TEXT_HIGHLIGHT_CANCEL_ALL | 129 |
| TOOL_TEXT_HIGHLIGHT_SELECTED | 130 |
| TOOL_TEXT_HIGHLIGHT_TOGGLE | 131 |
| TOOL_TTS_DISABLE | 132 |
| TOOL_TTS_ENABLE | 133 |
| TOOL_TTS_OFF | 134 |
| TOOL_TTS_ON | 135 |
| TOOL_TTS_RATE | 136 |
| TOOL_TTS_SELECT | 137 |
| TOOL_TTS_VOLUME | 138 |
| TOOL_ZOOM_DECREASE | 139 |
| TOOL_ZOOM_DISABLE | 140 |
| TOOL_ZOOM_ENABLE | 141 |
| TOOL_ZOOM_INCREASE | 142 |
| TOOL_ZOOM_RESET | 143 |
| TOOL_ZOOM_SET | 144 |
| NAVIGATION_REVIEW_PANEL_START | 145 |
| NAVIGATION_TOOLBAR_START | 146 |
| TOOL_TTS_PAUSE | 147 |
| TOOL_TTS_PLAY | 148 |
| TOOL_TTS_RESUME | 149 |
| TOOL_TTS_SKIP | 150 |
| TOOL_TTS_STOP | 151 |

